

Course Outline

(A SIDC CPE approved course)

Title : AMLA : Advantages of Know Your Clients (KYC)

Date : 28th February 2018

Venue : Moffett Training Centre, E-3-2 , Plaza Kelana Jaya, Jalan SS7/13 A, Kelana Jaya, 47301, Petaling Jaya, KL

CPE : 10 Points

Speaker : Dr Ch'ng Huck Khoon

Objectives

1. Discuss the financial planning process and the important of Know Your Clients (KYC)
2. State the compliance requirements on AMLA by Bank Negara Malaysia and Securities Commission
3. State the purposes, objectives, key characteristics of the Personal Data Protection Act
4. Explain the step-by-step how to get to Know Your Clients

| Time | Descriptions |
|-------------------|--|
| 9 : 00 - 10 : 30 | Advantages of Know Your Clients (KYC) <ul style="list-style-type: none">•The Financial Planning Process•Interpersonal skill•Knowledge and Reputation•Establishing Trust Relationship with Clients |
| 10 : 30 - 10 :45 | Coffee Break |
| 10 : 45 - 13 : 00 | Regulation - AMLA <ul style="list-style-type: none">•Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001•SC latest Guidelines•Risk-based Approach in Conducting Customer Due Diligence•Financing of Terrorism• Reportin Offences and Penalties |
| 13 : 00 - 14 : 00 | Lunch Break |
| 14 : 00 - 15 : 15 | PDPA <ul style="list-style-type: none">•Personal Data Protection Act 2010•Data Subject, Data User and Data Processor•Sensitive Personal Data•7 Principles of data Protection•Professional Ethics and Practical Standards |
| 15 : 15 - 15 : 30 | Coffee Break |
| 15 : 30 - 17 : 00 | What You Should Know About Your Customers? <ul style="list-style-type: none">•How to Get to Know Your Customers?•Mackay's 66-question customer profile•3 Things Your Customers Won't Tell You |

TRAINING COURSE REGISTRATION FORM

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|------------------|--|
| COURSE TITLE | AMLA : Advantages of Know Your Clients (KYC) |
| COURSE DATE | 28th February 2018 |
| VENUE | Moffett Training Centre, E-3-2 , Plaza Kelana Jaya, Jalan SS7/13 A, Kelana Jaya, 47301, Petaling Jaya |
| REGISTRATION | 8.30AM - 9.00AM |
| TIME | 9.00AM - 5.30PM |
| FEES | RM 398 for Banker, member of professional associations [early bird by 28 Jan. 2018] RM 448 for Banker, member of professional associations RM 518 for Public [early bird by 28 Jan. 2018] RM 588 for Public <i>Fees are inclusive of coffee breaks, lunch, program materials and a Certificate of Attendance</i> |
| SIDC CPE Points | 10 (Ten) |
| Instructions | Complete this form and fax to 03-76104234 or email to cpe-seminar@chkconsultancy.com.my |
| Payment Details | 1. Cheque made payable to "CHK CONSULTANCY SDN BHD". 2. Or bank in to MAYBANK A/C #. 557063320872 3. Scan and email bank in slip to cpe-seminar@chkconsultancy.com.my or fax to 03-76104234 |
| Terms & Policies | 1. submission of this document would imply agreement to our terms and policies. 2. CHK Consultancy Sdn Bhd only recognizes either payment or Letter of Undertaking and this form to confirm reservation for the participant. 3. CHK Consultancy Sdn Bhd implements a non-refund policy. Transfer to another program date incurs a 20% transfer fee and must be within 1 month from the effected month. However, we allow a replacement participant with no additional charge. 4. Cancellation made within 7 calendar days before the event date will incur a fee of 50% of the program fee. 5. Payment made any time AFTER the program date will result in an additional collection fee amounting to 15% of the original invoiced amount. 6. Registration is on a first-come-first-served basic. Walk-in participant/s will be admitted on the basic of space availability. 7. DISCLAIMER : CHK Consultancy Sdn Bhd reserves the right to change the venue, alter the speaker(s) without further notice, reserves the right to cancel/postpone this program. Administrators and participants will be notified and any payment received will be carried forward. |

MODE OF PAYMENT

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PARTICIPANT DETAILS (COMPLETE ALL DETAILS)

| | |
|--|--|
| FULL NAME | |
| DESIGNATION | |
| NEW NRIC/PASSPORT NO. | |
| EMAIL ADDRESS | |
| PHONE NO. | |
| MOBILE | |
| SC LICENCE / CMSRL NO. | |
| PROFESSIONAL ASSOCIATIONS MEMBERSHIP NO. | |

ADMINISTRATOR DETAILS

| | |
|-------------------------|--|
| COMPANY | |
| CONTACT PERSON | |
| EMAIL ADDRESS | |
| PHONE NO. | |
| FAX NO. | |
| BUSINESS POSTAL ADDRESS | |